

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

AUTOMATIC SALES PROMOTION SELECTION SYSTEM AND METHOD

the specification of which (check one)

☒ is attached hereto
_____ was filed on _____
as Application Serial No. _____
and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

☒ I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

| Prior Foreign Application(s) | | | Priority Claimed |
|------------------------------|-----------------------|-------------------------------------|------------------|
| _____ None _____ | _____ (Country) _____ | _____ (Date/Month/Year Filed) _____ | ____ Yes ____ No |
| (Number) | | | |

☒ I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between filing date of the prior application and the national or PCT international filing date of this application.

| _____ None _____ | _____ (Filing Date) _____ | _____ (Status) (patented, pending, abandoned) _____ |
|--------------------------|---------------------------|---|
| (Application Serial No.) | | |

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY:

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)


Terrance A. Meador (#30,298)
Steven W. Roth (#34,712)
Michael H. Jester (#28,022)

William T. Ellis (#26,874)

Send correspondence to: Terrance A. Meador, Esq., BAKER, MAXHAM, JESTER & MEADOR
Symphony Towers, 750 "B" Street, Suite 2770, San Diego, California 92101-3978

Direct Telephone Calls to: (name and telephone number) Terrance A. Meador (619) 233-9004

Full name of sole or first joint-inventor: Brian John Cragun

Inventor's signature:  Date: 12/12/94

Residence: 2613 24th Street N.W., Rochester, Minnesota 55901

Citizenship: USA

Post Office Address: Same

Full name of second joint-inventor: Todd Mark Kelsey

Inventor's signature:  Date: 12/12/94

Residence: 1430 Wilshire Woods Lane N.E., Rochester, Minnesota 55906

Citizenship: USA

Post Office Address: Same

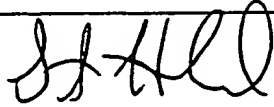
854010 "4E040050

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

DOCKET: RO994018/180

Full name of third joint-inventor: Stephen Hollis Lund

Inventor's signature: /



Date: / 12-15-1994

Residence: 62 South Peak Lane, Boulder, Colorado 80302

Citizenship: USA

Post Office Address: Same

064070-4E040050

ASSIGNMENT

Whereas, We

INVENTORS
AND CITY

(1) Brian John Cragun of Rochester,
County of Olmsted and State of Minnesota,

and (2) Todd Mark Kelsey of Rochester,
County of Olmsted and State of Minnesota,

and (3) Stephen Hollis Lund of Boulder,
County of Boulder and State of Colorado,

have invented certain improvements in

TITLE

AUTOMATIC SALES PROMOTION SELECTION SYSTEM AND METHOD

and executed, respectively, a United States patent application therefor on

(1) December 12, 1994, (2) December 12, 1994, (3) December 15, 1994.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY
DATE

(1) at Rochester
on 12/12/94, 1994,

Brian John Cragun SIGNATURE
Brian John Cragun INVENTOR

CITY
DATE

(2) at Rochester
on 12/13/94, 1994,

Todd Mark Kelsey SIGNATURE
Todd Mark Kelsey INVENTOR

CITY
DATE

(3) at Boulder, Colorado
on 12/15/1994, 1994,

Stephen Hollis Lund SIGNATURE
Stephen Hollis Lund INVENTOR

1862070-11000000

State of Minnesota)

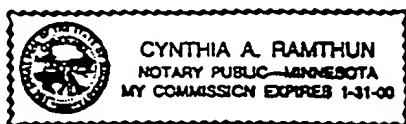
) ss.:

County of Olmsted)

INVENTOR (1)

On this 12th day of December, 1994, before me, Cynthia Ramthun, personally appeared Brian John Cragun, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Cynthia A. Ramthun
NOTARY

State of Minnesota)

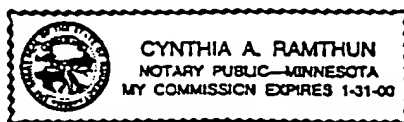
) ss.:

County of Olmsted)

INVENTOR (2)

On this 12th day of December, 1994, before me, Cynthia Ramthun, personally appeared Todd Mark Kelsey, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Cynthia A. Ramthun
NOTARY

State of COLORADO)

) ss.:

County of BOULDER)

INVENTOR (3)

On this 15th day of December, 1994, before me, PAT M. RAY, personally appeared Stephen Hollis Lund, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Pat M. Ray
NOTARY

352010-12-01-000000

| | | | |
|--------------------|---|--------------------|---|
| Applicants: | Brian John Cragun et al | Art Unit: | Unknown |
| Serial No.: | Unknown | Examiner: | Unknown |
| Filed: | Herewith | Atty. Ref.: | IBM RO994-018B WH&E IBM/33B/234 |
| Title: | AUTOMATIC SALES PROMOTION SELECTION SYSTEM AND MET | | |

Page 1
IBM RO994-018B
WH&E IBM/33B/234
Power of Attorney

CERTIFICATE UNDER 37 CFR 3.73(B)

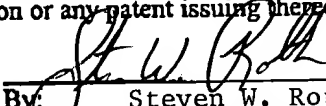
International Business Machines Corporation, a New York corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above. A copy of the assignment is attached hereto.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: January 6, 1998

By: 
Title: Steven W. Roth
IBM Attorney

Enc: Copy of Assignment

K:\Bul\33B\Power of Attorney-33B.rpd

852070-7E040060

JULY 28, 1992

U.S. PATENT AND TRADEMARK OFFICE

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NOTE: The following appendix did not appear in the Code of Federal Regulations

APPENDIX B
FORM PTO-1595
1-31-92

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Brian John Cragun
Todd Mark Kelsey
Stephen Hollis Lund

2. Name and address of receiving party(ies):

Name: **INTERNATIONAL BUSINESS
MACHINES CORPORATION**
Internal Address: Armonk, New York 10504
Street Address: SAME AS ABOVE

Additional name(s) of conveying party(ies) attached? ☐ yes ☒ no

Additional name(s) & address(es) attached?
☐ yes ☒ no

3. Nature of Conveyance

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: December 12 1994 and December 15, 1994

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: Terrance A. Meador
Address: BAKER, MAXHAM, JESTER & MEADOR
750 "B" Street, Suite 2770
San Diego, California 92101

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41): \$ 40.00
☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit Account Number: 09-0465
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

TERRANCE A. MEADOR
Name of Person Signing

Terrance A. Meador
Signature

December 23, 1994
Date

Total Number of pages including cover sheet, attachments and document: 3

OMB NO. 0651-0011 (EXP. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

COMMISSIONER OF PATENTS AND TRADEMARKS
BOX ASSIGNMENTS
WASHINGTON, D.C. 20531

Public burden — Writing for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data necessary, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20531, and to the Office of Management and Budget, Paperwork Reduction Project, (0651-0011), Washington, D.C. 20503.